

12-21-01

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12/18/01
JC960 U.S. PRO

ner's Docket No. PD7259US

PATENT

inary Classification:

Proposed Class:

Subclass:

JC971 U.S. PRO
10/023510
12/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Xuekun Xing; Frough K. Shokoohi; Wanjun Fang; Hiroki Sugiura

For (title): LI-ION AND/OR LI-ION POLYMER BATTERY WITH EDGE PROTECTORS

1. Type of Application

This transmittal is for an original (nonprovisional) application.

2. Papers Enclosed

CERTIFICATION UNDER 37 C.F.R. § 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents,
Washington D.C. 20231

37 CFR 1.8a

☐ with sufficient postage as first class mail.

37 CFR 1.10

☒ as "Express Mail Post Office to Addressee" Mailing Label
No. **ET733224708US**.

TRANSMISSION

☐ facsimile transmitted to the Patent and Trademark Office, 703 _____

Date: December 18, 2001

Christine Goellner
Signature

Christine Goellner
Type or print name of person certifying

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)
Application

9 Page(s) of Specification
2 Page(s) of Claims
6 Sheet(s) of Drawing(s)--Informal

B. Other Papers Enclosed
1 Page(s) of abstract

3. **Declaration or Oath**

Not Enclosed. Application is made by a person authorized under 37 C.F.R. Section 1.41(c) on behalf of all of the above-named inventors.

4. **Inventorship Statement**

The inventorship for all the claims in this application is the same.

5. **Language**

English

6. **Assignment**

An assignment of the invention to NTK Powerdex, Inc. will follow.

7. **Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
Total Claims (37 CFR 1.16(c))	16	- 20 =	0 x	\$18.00	\$0.00
Independent Claims (37 CFR 1.16(b))	1	- 3 =	0 x	\$84.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$280.00	\$280.00

Filing Fee Calculation

\$1,020.00

8. **Fee Payment Being Made at This Time**

Enclosed

Filing Fee

\$1,020.00

Total Fees Enclosed

\$1,020.00

9. **Method of Payment of Fees**

Check in the amount of \$1,020.00 is attached.

10. **Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 50-0537.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

11. **Instructions as to Overpayment**

Credit Account No. 50-0537.

Date: December 18, 2001

Reg. No.: 31,115

Tel. No.: 440-684-1090

Customer No.: 22203



Signature of Practitioner

Mark Kusner

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